



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE

AUCTIONEER COMMISSION

DAVY CROCKETT TOWER 6th Floor
500 JAMES ROBERTSON PARKWAY
NASHVILLE, TN 37243-1152
Phone: (615) 741-3600
Fax: (615) 741-1245

www.state.tn.us/commerce/boards/auction

APPLICATION FOR GALLERY LICENSE

(Questions 1 through 10 must be answered or application will be returned.)

1. Business name in which license will be issued and advertising will be conducted.

a) **Mailing** address _____
(Street & Number) (City) (State) (Zip Code)
b) **Physical** address _____
(Street & Number) (City) (State) (Zip Code)
c) **Business** address _____
(Street & Number) (City) (State) (Zip Code)
d) Phone _____ Fax _____ E-Mail _____
2. Is applicant a corporation____, partnership____, association____, company____, or proprietorship____
(individual)
If corporation, indicate state in which incorporated. _____ (attach charter)
(State)
3. Branch office(s) address (es). _____
4. Will gallery be engaged in auction business exclusively? Yes ____ or No ____.
5. State name of all persons interested in the business as principals, officers, directors, or managing agents. State the capacity (title) of each and show whether they are an active or non-active participant in the auction business as defined in Section 62-19-101 of the Tennessee Auctioneer License Law. (If sole owner, state).

Name	Capacity (title)	Ownership	Active Participant Yes____ or No____
_____	_____	_____	_____
_____	_____	_____	_____
6. Has any partner, officer, or director ever been indicted or convicted on charges involving embezzlement, obtaining money under false pretenses, larceny, or extortion? _____
(If **YES**, attach all court documentation and a letter of explanation).
7. Are there any civil suits or judgements pending against any partner, officer or director at this time? _____ (If **YES**, attach all court documentation and a letter of explanation).

8. Has applicant ever engaged in auction activities in this state or any other state? Yes ____ or No ____ If **Yes**, Where? ____ When ____
9. Has any partner, officer, or director ever had an auctioneer's license, real estate broker's license or any other type of occupational license suspended, revoked, refused, or disciplined by this State or any other State? Yes ____ or No ____ (If **YES**, attach a letter of explanation). Where? ____ Give reasons for such. ____
10. Does the gallery owner understand and accept that he/she will be held accountable for all professional actions of all members and employees of the gallery when operating within the scope of their professional auction activities? ____

AFFIDAVIT
(Read Carefully)

The undersigned, by submitting this application to the Tennessee Auctioneer Commission for a license to carry on the business under the provisions of the Auctioneer's License Act of 1967, as amended, swears (or affirms) that he/she has read and is thoroughly familiar with the provisions of the aforementioned Act, and agrees to fully comply. The undersigned further swears (or affirms) that all of the information given in this application is true to the best of his/her knowledge and belief.

Signature: Owner(s) of Business

Print Name(s)

Subscribed and sworn to before me the ____ day of ____, 20____

My Commission expires ____, 20____

(SEAL)

Notary Public

County

State

IN-0413 (Rev. 6/04)

SEAL IS MANDATORY